Synchronous Languages—Lecture 13

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11 June 2020

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Sequentially Constructive Concurrency

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- 2. What does the initialize-update-read protocol refer to?
- 3. What is the SCG?
- 4. What are basic blocks? What are scheduling blocks?
- 5. When compiling from the SCG, what types of *low-level synthesis* do we distinguish? How do they compare?



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- Safety-critical systems must react deterministically



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- Embedded systems often safety-critical
- Safety-critical systems must react deterministically
- Computations often exploit concurrency
- Key challenge: Concurrency must be deterministic!

Thanks to Michael Mendler (U Bamberg) for support with these slides

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 - Familiar

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- ② Restrictive in practice

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Aim: Deterministic concurrency with synchronous foundations, but without synchronous restrictions.

Sequential Languages

► C, Java, ...

Synchronous Languages

Esterel, Lustre, Signal, SCADE, SyncCharts ...

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- ► C, Java, ...
- Asynchronous schedule

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Sequentially Constructive Model of Computation (SC MoC)

- © Deterministic concurrency and deadlock freedom
- ① Intuitive programming paradigm

Concurrent micro-step control flow

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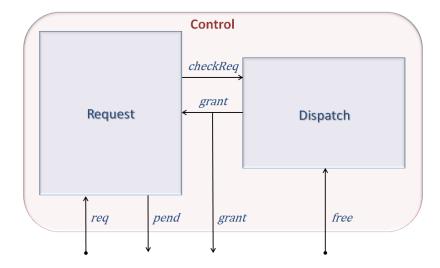
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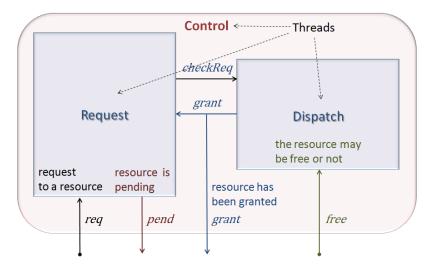
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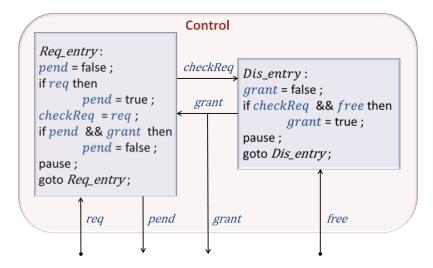
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A Sequentially Constructive Program







```
Req_entry:

pend = false;

if req then

pend = true;

checkReq = req;

if pend && grant then

pend = false;

pend = false;

pause;

goto Dis_entry;

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goto Req_entry;
```

Imperative program order (sequential access to shared variables)

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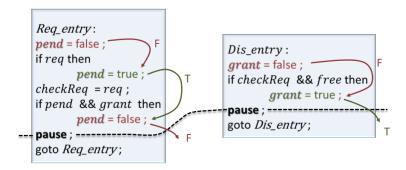
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Imperative program order (sequential access to shared variables)

- "write-after-write" can change value sequentially
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 - Accepted in SC MoC
 - © Not permitted in standard synchronous MoC

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Req_entry:
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- Implemented by the SC compiler

logically reactive program



Programmer

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- Defines the rules
- Prescribes sequential execution order
- ► Leaves concurrency to compiler and run-time
- "Free Schedules"

logically reactive program



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Compiler = Player

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- Restricts concurrency to ensure determinacy and deadlock freedom
- "Admissible Schedules"

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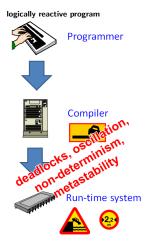
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Tries to choose a *spoiling execution* from admissible schedules



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The following applies to **concurrent** variable accesses only ...

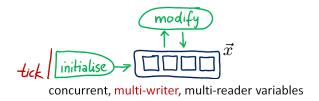
C A U

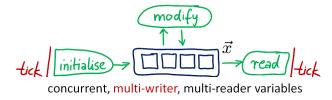
SC Concurrent Memory Access Protocol (per macro tick)

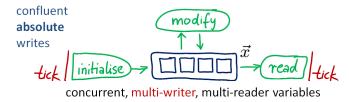


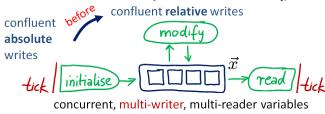
concurrent, multi-writer, multi-reader variables



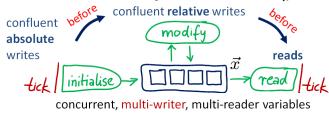




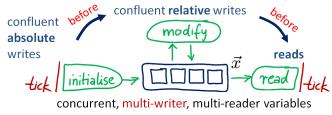




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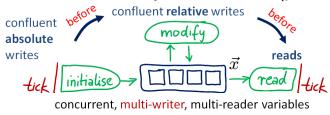


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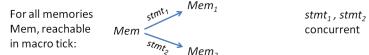


Confluent Statements (per macro tick)

SC Concurrent Memory Access Protocol (per macro tick)



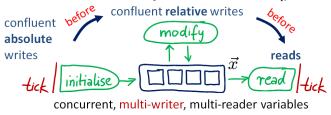
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SC Concurrent Memory Access Protocol (per macro tick)



Confluent Statements (per macro tick)

For all memories $stmt_1$, $stmt_2$ $stmt_1$, $stmt_2$ $stmt_1$, $stmt_2$ $stmt_1$, $stmt_2$ $stmt_2$ $stmt_3$ $stmt_4$, $stmt_4$ $stmt_4$

Slide 15

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 - An SC program must be determinate
- 3. Want to exploit sequentiality as much as possible
 - But what exactly is sequentiality?
- 4. Want to define not only the exact concept of SC, but also a practical strategy to implement it
 - In practice, this requires conservative approximations
 - Compiler must not accept Non-SC programs
 - Compiler may reject SC programs

References

Most of the material here draws from this reference [TECS]:



R. von Hanxleden, M. Mendler, J. Aguado, B. Duderstadt, I. Fuhrmann, C. Motika, S. Mercer, O. O'Brien, and P. Roop.

Sequentially Constructive Concurrency – A Conservative Extension of the Synchronous Model of Computation.

ACM Transactions on Embedded Computing Systems, Special Issue on Applications of Concurrency to System Design, July 2014, 13(4s). https://rtsys.informatik.uni-kiel.de/~biblio/downloads/papers/tecs14.pdf

Unless otherwise noted, the numberings of definitions, sections etc. refer to this.

There is also an extended version [TR]:



R. von Hanxleden, M. Mendler, J. Aguado, B. Duderstadt, I. Fuhrmann, C. Motika, S. Mercer, O. O'Brien, and P. Roop.

Sequentially Constructive Concurrency – A Conservative Extension of the Synchronous Model of Computation.

Christian-Albrechts-Universität zu Kiel, Department of Computer Science, Technical Report 1308, ISSN 2192-6247, Aug. 2013, 13(4s).

https://rtsys.informatik.uni-kiel.de/~biblio/downloads/papers/report-1308.pdf

Overview

Motivation

```
Formalizing Sequential Constructiveness (SC)
```

The SC Language (SCL) and the SC Graph (SCG) [Sec. 2] Free Scheduling of SCGs [Sec. 3]

The SC Model of Computation [Sec. 4]

Wrap-Up

Foundation for the SC MoC

- Foundation for the SC MoC
- Minimal Language

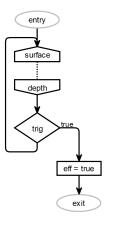
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$$s ::= x = e \mid s; s \mid$$
 if $(e) s$ else $s \mid l : s \mid$ goto $l \mid$ fork s par s join \mid pause

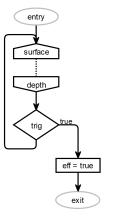
- Statement
- X Variable
- e Expression
- / Program label

The SC Graph (SCG) [Sec. 2.3]



The concurrent and sequential control flow of an SCL program is given by an SC Graph (SCG)

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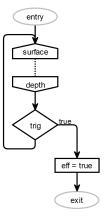


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Internal representation for

- Semantic foundation
- Analysis
- Code generation

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SC Graph:

Labeled graph G = (N, E)

- Nodes N correspond to statements of sequential program
- Edges E reflect sequential execution control flow

Node Types in the SCG

Node $n \in N$ has statement type n.st

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```
► n.st \in {entry, exit, goto, x = ex, if (ex), fork, join, surf, depth}
```

x: variable, ex: expression.

CAL

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- ▶ arbitrary edges $\alpha_a =_{\text{def}} \{ tick \} \cup \alpha_{ins}$
- ▶ flow edges $\alpha_{flow} =_{def} \{seq, tick\}$

CALU

Edge $e \in E$ has edge type $e.type \in \alpha_a$

- Specifies the nature of the particular ordering constraint expressed by e
- For $e.type = \alpha$, write $e.src \rightarrow_{\alpha} e.tgt$, pronounced "e.src α -precedes e.tgt"

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 ightharpoonup n_2$: sequential successors
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- \longrightarrow *seq: reflexive and transitive closure of \rightarrow seq
- Note: $n_1 \rightarrow_{seq} n_2$ does not imply fixed run-time ordering between n_1 and n_2

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Edge $e \in E$ has edge type $e.type \in \alpha_a$

- Specifies the nature of the particular ordering constraint expressed by e
- For $e.type = \alpha$, write $e.src \rightarrow_{\alpha} e.tgt$, pronounced "e.src α -precedes e.tgt"
- $ightharpoonup n_1
 ightharpoonup n_2$: sequential successors
- $ightharpoonup n_1
 ightharpoonup n_{ick} n_2$: tick successors
- ▶ $n_1 \rightarrow_{seq} n_2$, $n_1 \rightarrow_{tick} n_2$: flow successors, induced directly from source program
- \longrightarrow *seq: reflexive and transitive closure of \rightarrow seq
- Note: $n_1 \rightarrow_{seq} n_2$ does not imply fixed run-time ordering between n_1 and n_2 (consider loops)

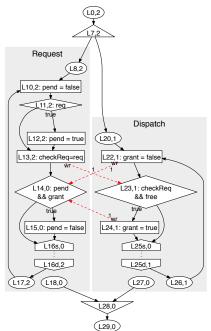
C | A | U Synchronous Languages Lecture 13 Slide 23

Mapping SCL & SCG

	Thread (Region)	Concurrency (Superstate)	Conditional (Trigger)	Assignment (Effect)	Delay (State)
SCG	entry	fork	c	x = e	surface
SCL	t	fork t_1 par t_2 join	if (c) s_1 else s_2	x = e	pause

Plus ";" (Sequence) and "goto" to specify sequential successors (solid edges)

SCL & SCG – The Control Example



```
module Control
    input bool free, req;
    output bool grant, pend;
      bool checkReg:
      fork {
        // Thread Request
        Request entry:
10
        pend = false;
11
        if (req)
12
         pend = true;
13
        checkReg = reg;
14
        if (pend && grant)
15
         pend = false;
16
        pause;
17
        goto Request entry;
18
19
      par {
20
        // Thread Dispatch
21
        Dispatch entry:
22
        grant = false:
23
        if (checkReg && free)
24
         grant = true:
25
        pause;
26
        goto Dispatch entry;
27
28
      join;
29
```

Sequentiality vs. Concurrency Static vs. Dynamic Threads

Recall: We want to distinguish between *sequential* and *concurrent* control flow.

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Static threads

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To get started, distinguish

Static threads: Structure of a program (based on SCG)

Recall: We want to distinguish between *sequential* and *concurrent* control flow.

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To get started, distinguish

- Static threads: Structure of a program (based on SCG)
- Dynamic thread instance

Recall: We want to distinguish between *sequential* and *concurrent* control flow.

But what do "sequential" / "concurrent" mean?
This distinction is not as easy to formalize as it may seem . . .

To get started, distinguish

- Static threads: Structure of a program (based on SCG)
- ▶ Dynamic thread instance: thread in execution

- ▶ Given: SCG G = (N, E)
- Let T denote the set of threads of G

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 - such that there is a flow path to n that originates in t_{en}, does not traverse t_{ex}, 1

¹Added to definition in paper!

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- ▶ Let *T* denote the set of threads of *G*
- ► T includes a top-level Root thread
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 - such that there is a flow path to n that originates in t_{en}, does not traverse t_{ex} , and does not traverse any other entry node t'_{en} , unless that flow path subsequently traverses t'_{ex} also
- For each thread t, define sts(t) as the set of statement nodes $n \in N$ such that th(n) = t

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```
module Control
     input bool free, req;
                                                                                   Request
     output bool grant, pend;
                                     19
                                             par {
                                                                                  .10,2: pend = false
       bool checkReq;
                                                                                   111,2: req
                                     20
                                               // Thread Dispatch
 6
                                               Dispatch entry:
       fork {
                                                                                                     Dispatch
                                     22
                                               grant = false;
 8
         // Thread Request
                                                                                  L12.2: pend = true
                                                                                               (L20.1)
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                                               if (checkReq && free)
         Request entry:
                                                                                 L13.2: checkRea=rea
                                                                                                L22,1: grant = false
                                     24
                                                 grant = true;
10
         pend = false;
                                     25
                                               pause;
11
         if (reg)
                                                                                   L14.0: pend
                                                                                                   L23.1: checkRea
                                     26
                                               goto Dispatch entry;
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          pend = true;
                                     27
13
         checkReg = reg;
                                     28
                                             join;
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                                                                                   L15.0: pend = false
                                     29
15
           pend = false;
                                                                                                   L25s,0
                                                                                    L16s,0
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                                                                                                    L25d,1
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ightharpoonup Threads T =

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▶ Threads $T = \{Root, Request, Dispatch\}$

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18
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- ightharpoonup Threads $T = \{Root, Request, Dispatch\}$
- Root thread consists of the statement nodes.

```
module Control
 2
     input bool free, req;
                                                                                   Request
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                                             par {
                                                                                   10,2: pend = fals
       bool checkRea:
                                               // Thread Dispatch
                                               Dispatch entry:
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                                                                                                     Dispatch
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18
```

- ightharpoonup Threads $T = \{Root, Request, Dispatch\}$
- Root thread consists of the statement nodes. $sts(Root) = \{L0, L7, L28, L29\}$

```
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                                                                                     L16s,0
                                                                                                    L25s,0
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         pause;
                                                                                                     L25d,1
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                                                                                                     (L27.0)
                                                                                                            (L26.1
18
```

- ightharpoonup Threads $T = \{Root, Request, Dispatch\}$
- Root thread consists of the statement nodes $sts(Root) = \{L0, L7, L28, L29\}$
- ▶ The remaining statement nodes of N are partitioned into sts(Dispatch) and sts(Request)

Let t, t_1 , t_2 be threads in T

• $fork(t) =_{def} fork node immediately preceding <math>t_{en}$

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- ▶ t_1 and t_2 are (statically) concurrent, denoted $t_1 || t_2$, iff t_1 and t_2 are descendants of distinct threads sharing a common fork node, *i. e.*:

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- For every thread $t \neq \text{Root}$: $p(t) =_{def} th(fork(t))$, the parent thread
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$$\exists t_1' \in p^*(t_1), t_2' \in p^*(t_2): t_1' \neq t_2' \land \mathit{fork}(t_1') = \mathit{fork}(t_2')$$

Let t, t_1 , t_2 be threads in T

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- For every thread $t \neq \text{Root}$: $p(t) =_{def} th(fork(t))$, the parent thread
- $p^*(t) =_{def} \{t, p(t), p(p(t)), \dots, Root\}$, the recursively defined set of ancestor threads of t
- ▶ t_1 is subordinate to t_2 , written $t_1 \prec t_2$, if $t_1 \neq t_2 \land t_1 \in p^*(t_2)$
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$$\exists t_1' \in p^*(t_1), t_2' \in p^*(t_2): t_1' \neq t_2' \land \mathit{fork}(t_1') = \mathit{fork}(t_2')$$

▶ Denote this common fork node as $lcafork(t_1, t_2)$, the least common ancestor fork

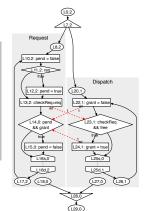
- $fork(t) =_{def} fork node immediately preceding t_{en}$
- For every thread $t \neq \text{Root}$: $p(t) =_{def} th(fork(t))$, the parent thread
- ▶ $p^*(t) =_{def} \{t, p(t), p(p(t)), \dots, \text{Root}\}$, the recursively defined set of ancestor threads of t
- ▶ t_1 is subordinate to t_2 , written $t_1 \prec t_2$, if $t_1 \neq t_2 \land t_1 \in p^*(t_2)$
- ▶ t_1 and t_2 are (statically) concurrent, denoted $t_1 || t_2$, iff t_1 and t_2 are descendants of distinct threads sharing a common fork node, i. e.:

$$\exists t_1' \in p^*(t_1), t_2' \in p^*(t_2) : t_1' \neq t_2' \land \mathit{fork}(t_1') = \mathit{fork}(t_2')$$

- Denote this common fork node as lcafork(t1, t2), the least common ancestor fork
- Lift (static) concurrency notion to nodes: $n_1 || n_2 \Leftrightarrow th(n_1) || th(n_2) \Leftrightarrow lcafork(n_1, n_2) = lcafork(th(n_1), th(n_2))$

```
module Control
    input bool free, reg;
    output bool grant, pend;
      bool checkReg;
6
 7
      fork {
       // Thread Request
       Request entry:
10
       pend = false;
11
       if (req)
12
       pend = true;
13
       checkReq = req;
14
       if (pend && grant)
15
        pend = false:
16
       pause;
17
       goto Request entry;
18
```

```
par {
20
       // Thread Dispatch
21
        Dispatch entry:
22
       grant = false;
23
        if (checkReq && free)
24
         grant = true;
        pause;
26
        goto Dispatch entry;
27
28
      join;
29
```



```
module Control
      input bool free, req;
      output bool grant, pend;
                                                                                    Request
                                             par {
       bool checkReg;
                                      20
                                               // Thread Dispatch
                                                                                  L10.2: pend = fals
 6
                                      21
                                                Dispatch entry:
       fork {
                                      22
                                               grant = false;
         // Thread Request
                                                                                                     Dispatch
                                      23
                                                if (checkReq && free)
         Request entry:
                                                                                   L12,2: pend = true
                                      24
                                                 grant = true;
10
         pend = false;
                                                                                 L13.2: checkRea=rea
                                                                                                L22.1: grant = false
                                                pause;
11
         if (rea)
                                      26
                                                goto Dispatch entry:
12
         pend = true;
                                                                                     .14,0: pend
                                                                                                   L23,1: checkReq
                                      27
                                                                                     && grant
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         checkReq = req;
                                      28
                                              join;
14
         if (pend && grant)
                                      29
                                                                                               L24,1: grant = true
                                                                                   L15,0: pend = false
15
          pend = false:
                                                                                                   L25s.0
16
         pause;
                                                                                     L16s.0
                                                                                                   L25d,1
17
         goto Request entry;
18
                                                                                (L17,2) (L18,0)
                                                                                                           (L26,1)
```

▶ Root \prec Request and Root \prec Dispatch

```
module Control
      input bool free, reg;
      output bool grant, pend;
                                                                                    Request
                                             par {
       bool checkReg;
                                      20
                                               // Thread Dispatch
                                                                                  L10.2: pend = fals
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                                                Dispatch entry:
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                                      29
                                                                                               L24,1: grant = true
                                                                                   L15,0: pend = false
15
          pend = false:
                                                                                                   L258.0
16
         pause;
                                                                                     L16s.0
17
         goto Request entry;
                                                                                                   L25d,1
18
                                                                                (L17.2) (L18.0)
                                                                                                          L26,1
```

- ► Root ≺ Request and Root ≺ Dispatch
- ► Request || Dispatch

```
module Control
     input bool free, reg;
     output bool grant, pend;
                                                                                   Request
                                             par {
       bool checkReg;
                                      20
                                               // Thread Dispatch
 6
                                                                                  L10.2: pend = fals
                                               Dispatch entry:
       fork {
                                      22
                                               grant = false;
         // Thread Request
                                                                                                     Dispatch
                                      23
                                               if (checkReq && free)
         Request entry:
                                                                                   L12,2: pend = true
                                      24
                                                 grant = true;
10
         pend = false;
                                                                                 L13.2: checkRea=rea
                                                                                               L22.1: grant = false
                                               pause;
11
         if (rea)
                                      26
                                               goto Dispatch entry:
12
         pend = true;
                                                                                    .14,0: pend
                                                                                                   L23,1: checkReq
                                      27
13
         checkReq = req;
                                      28
                                              ioin:
14
         if (pend && grant)
                                      29
                                                                                   L15,0: pend = false
                                                                                               L24,1: grant = true
15
          pend = false:
                                                                                                   L25s.0
16
         pause;
                                                                                     L16s.0
17
         goto Request entry;
                                                                                                   L25d,1
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                                     29
                                                                                  15,0: pend = false
                                                                                              L24,1: grant = true
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                                                                                                  L25s.0
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                                                                                    (L18.0)
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```

- ► Root ≺ Request and Root ≺ Dispatch
- ▶ Request || Dispatch, Root is not concurrent with any thread

Note: Concurrency on threads, in contrast to concurrency on node instances, is purely static and can be checked with a simple, syntactic analysis of the program structure.

Thread Trees [TR, Sec. 3.7]

A Thread Tree illustrates the static thread relationships.

- Contains subset of SCG nodes:
 - 1. Entry nodes, labeled with names of their threads
 - 2. Fork nodes, attached to the entry nodes of their threads
- Similar to the AND/OR tree of Statecharts

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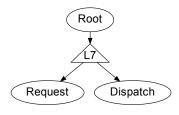
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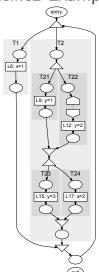
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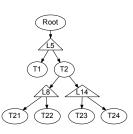


C | A | U Synchronous Languages Lecture 13 Slide 31

Thread Trees - The Reinc2 Example

```
module Reinc2
    output int x, y;
     loop:
      fork { // Thread T1
       x = 1; 
      par { // Thread T2
       fork { // Thread T21
         v = 1;  }
10
       par { // Thread T22
11
         pause;
12
        y = 2;
13
       join;
14
       fork { // Thread T23
15
         y = 3; }
16
       par { // Thread T24
17
         x = 2;
18
       join}
19
    ioin:
20
    goto loop;
21
```



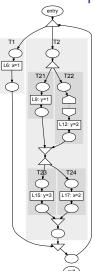


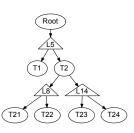
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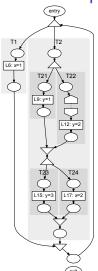


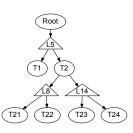
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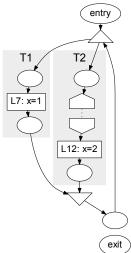




Alternative definition for static thread concurrency:

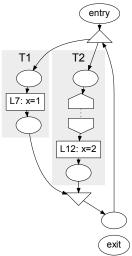
► Threads are concurrent iff their least common ancestor (Ica) in thread tree is a fork node

```
module Reinc
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10
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11
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Are interested in run-time concurrency, *i. e.*, whether ordering is up to discretion of a scheduler.

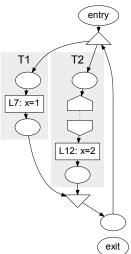
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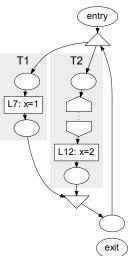


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 T2 exhibits thread reincarnation

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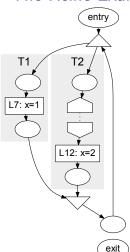


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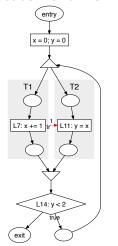
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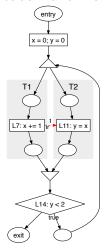
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Observations:

- ► T2 exhibits thread reincarnation
- Assignments to x are both executed in the same tick, yet are sequentialized
- ► Thus, static thread concurrency not sufficient to capture run-time concurrency!

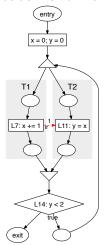


```
module InstLoop
    output int x = 0, y = 0;
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     join;
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```



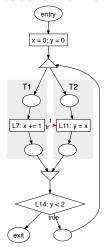
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- ► Accesses to *x* in *L*7 and *L*11 executed twice within tick
- Denote this as statement reincarnation



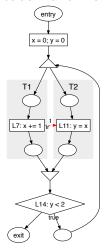
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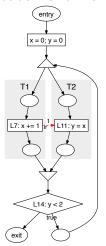
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- Accesses to x in L7 and L11 executed twice within tick
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- Data dependencies ⇒ Must schedule L7 before L11



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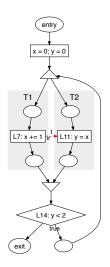
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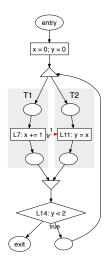
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Not enough to impose an order on the program statements ⇒ Need to distinguish statement instances

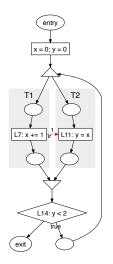


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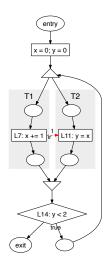
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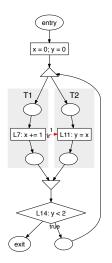
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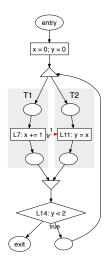
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- Traditional synchronous languages: Reject
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 - One might still want to ensure that a program always terminates
 - But this issue is orthogonal to determinacy and having a well-defined semantics.

- ▶ Given: SCG G = (N, E)
- ▶ (Macro) tick R, of length $len(R) \in \mathbb{N}_{\geq 1}$: mapping from micro tick indices $1 \leq j \leq len(R)$, to nodes $R(j) \in N$

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- Node instance: ni = (n, i), with statement node $n \in N$, micro tick count $i \in \mathbb{N}$
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- 3. their threads have been instantiated by the same instance of the associated least common ancestor fork, *i. e.*, $last(n, i_1) = last(n, i_2)$ where $n = lcafork(n_1, n_2)$

Overview

Motivation

```
Formalizing Sequential Constructiveness (SC)
```

The SC Language (SCL) and the SC Graph (SCG) [Sec. 2] Free Scheduling of SCGs [Sec. 3]

The SC Model of Computation [Sec. 4]

Wrap-Up

Continuations & Thread Execution States [Def. 3.1] A continuation c consists of

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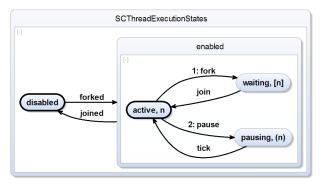
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- Node c.node ∈ N, denoting the current state of each thread, i. e., the node (statement) that should be executed next, similar to a program counter
- 2. Status $c.status \in \{active, waiting, pausing\}$



In a trace (see later slide), round/square/no parentheses around n = c.node denote c.status, for enabled continuations c

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CAL

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CALU

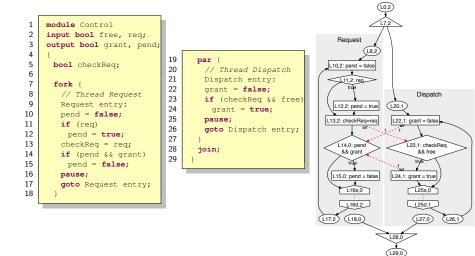
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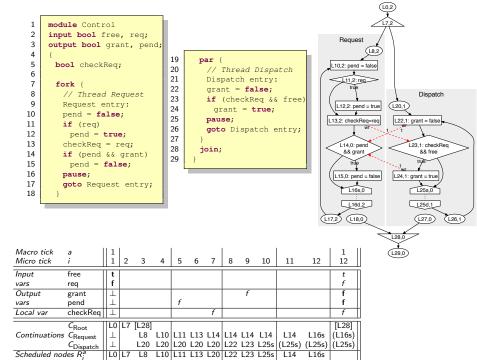
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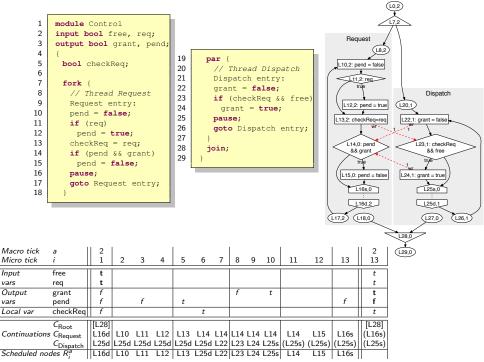
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A configuration is called valid if C is valid







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Only restrictions:

- 1. Execute only ≺-maximal threads
 - ▶ If there is at least one continuation in C_{cur} , then there also is a \prec -maximal one, because of the finiteness of the continuation pool

Now define free scheduling, to set the stage for later defining "initialize-update-read" protocol $(\rightarrow SC$ -admissible scheduling)

Only restrictions:

- 1. Execute only ≺-maximal threads
- 2. Do so in an interleaving fashion

Micro step: transition $(C_{cur}, M_{cur}) \xrightarrow{c}_{\mu s} (C_{nxt}, M_{nxt})$ between two micro ticks

- $ightharpoonup (C_{cur}, M_{cur})$: current configuration
- c: continuation selected for execution
- \triangleright ($C_{n\times t}$, $M_{n\times t}$): next configuration

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The free schedule is permitted to pick any one of the \prec -maximal continuations $c \in C_{cur}$ with c.status = active and execute it in the current memory M_{cur}

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- Actions μM and μC (made precise in paper) depend on the statement c.node.st to be executed
- ► (C_{nxt}, M_{nxt}) uniquely determined by c, thus may write $(C_{nxt}, M_{nxt}) = c(C_{cur}, M_{cur})$

CAL

Quiescent configuration (C, M):

▶ No active $c \in C$

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Scheduler can perform a global clock step

Global clock step:
$$(C_{cur}, M_{cur}) \rightarrow_{tick} (C_{nxt}, M_{nxt})$$

► Transition between last micro tick of the current macro tick to first micro tick of the subsequent macro tick

```
Global clock step: (C_{cur}, M_{cur}) \rightarrow_{tick} (C_{nxt}, M_{nxt})
```

- Transition between last micro tick of the current macro tick to first micro tick of the subsequent macro tick
- ▶ All pausing continuations of *C* advance from their surf node to the associated depth node:

```
C_{nxt} = \{c[\text{active} :: tick(n)] \mid c[\text{pausing} :: n] \in C_{cur}\} \cup \{c[\text{waiting} :: n] \mid c[\text{waiting} :: n] \in C_{cur}\}
```

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- All other memory locations persist unchanged into the next macro tick.

Formally,

$$M_{nxt}(x) = \begin{cases} v_i, & \text{if } x = x_i \in I, \\ M_{cur}(x), & \text{if } x \notin I. \end{cases}$$

CALU

Macro Ticks

Scheduler runs through sequence

$$(C_0^a, M_0^a) \xrightarrow{c_1^a}_{\mu_s} (C_1^a, M_1^a) \xrightarrow{c_2^a}_{\mu_s} \cdots \xrightarrow{c_{k(a)}^a}_{\mu_s} (C_{k(a)}^a, M_{k(a)}^a)$$
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Sequence (1) is macro tick (synchronous instant) a:

$$(R^a, V_I^a): (C_0^a, M_0^a) \Longrightarrow (C_{k(a)}^a, M_{k(a)}^a)$$
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- V_I^a : projects the initial input, $V_I^a(x) = M_0^a(x)$ for $x \in I$
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Ra: sequence of statement nodes executed during a

- $ightharpoonup len(R^a) = k(a)$ is length of a
- ▶ R^a is function mapping each micro tick index $1 \le j \le k(a)$ to node $R^a(j) = c_i^a$.node executed at index j

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CIALU

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Trace: externally visible output values at each macro tick R [TR, Sec. 3.9]

CAU

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 only macro configurations are observable externally (in fact, only the memory component of those)

CALU

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Synchronous Languages Lecture 13 Slide 51

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- ▶ Micro tick behavior $\rightarrow_{\mu s}$ may well be non-determinate

Synchronous Languages Lecture 13 Slide 51

Active and Pausing Continuations are Concurrent [TR, Prop. 2]

Given:

- ightharpoonup (C, M), reachable (micro or macro tick) configuration
- $ightharpoonup c_1, c_2 \in C$, active or pausing continuations with $c_1
 eq c_2$

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- $ightharpoonup c_1, c_2 \in C$, active or pausing continuations with $c_1
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Then:

- $ightharpoonup c_1.node \neq c_2.node$
- \blacktriangleright th(c₁.node) || th(c₂.node)
- No instantaneous sequential path from c₁.node to c₂.node or vice versa

(Proof: see [TR])

Concurrency vs. Sequentiality Revisited I

Recall: Want to exploit sequentiality as much as possible

► Thus, consider only run-time concurrent data dependencies

Concurrency vs. Sequentiality Revisited I

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► Thus, consider only run-time concurrent data dependencies

Recall: Static concurrency \Rightarrow run-time concurrency

- ► Consider Reinc example
- ▶ Thus, can ignore some statically concurrent data dependencies

CAL

► Then we could ignore data dependencies between nodes that are sequentially ordered

Slide 54

- Then we could ignore data dependencies between nodes that are sequentially ordered
- But the answer is: no

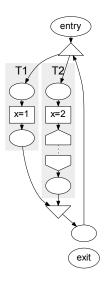
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Counterexample:

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Counterexample: Reinc3 (SCG shown on right)

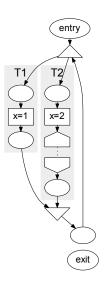
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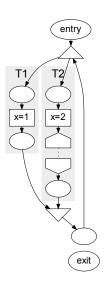
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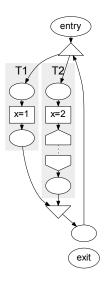
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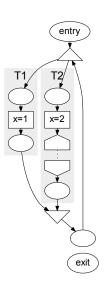


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Thus, concurrency and (static) sequentiality are not **mutually exclusive**, **but orthogonal**!

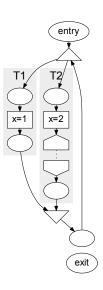


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Thus, concurrency and (static) sequentiality are not **mutually exclusive**, **but orthogonal**! However, (instantaneous) *run-time* sequentiality (on node *instances*) does exclude run-time concurrency



Notes on Free Scheduling I

Key to determinacy:

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rule out uncertainties due to unknown scheduling mechanism

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- Like the synchronous MoC, the SC MoC ensures macro-tick determinacy by inducing certain scheduling constraints on variable accesses
- Unlike the synchronous MoC, the SC MoC tries to take maximal advantage of the execution order already expressed by the programmer through sequential commands
- ► A scheduler can only affect the order of variable accesses through **concurrent** threads

Notes on Free Scheduling II Recall:

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- ► If variable accesses (within tick) are already sequentialized by →_{seq}, they cannot appear simultaneously in the active continuation pool
- ► Hence, no way for thread scheduler to reorder them and thus lead to a non-determinate outcome

Notes on Free Scheduling II

Recall:

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Similarly, threads are not concurrent with parent thread

Notes on Free Scheduling II

Recall:

- If variable accesses (within tick) are already sequentialized by →_{seq}, they cannot appear simultaneously in the active continuation pool
- ► Hence, no way for thread scheduler to reorder them and thus lead to a non-determinate outcome

Similarly, threads are not concurrent with parent thread

- ▶ Because of path ordering ≺, a parent thread is always suspended when a child thread is in operation
- Thus, not up to scheduler to decide between parent and child thread
- No race conditions between variable accesses performed by parent and child threads; no source of non-determinacy

C | A | U Synchronous Languages Lecture 13 Slide 56

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In the following, will define such a restriction:

The Aim

Want to find a suitable restriction on the "free" scheduler which is

- 1. easy to compute
- 2. leaves sufficient room for concurrent implementations
- still (predictably) sequentializes any concurrent variable accesses that may conflict and produce unpredictable responses

In the following, will define such a restriction: the SC-admissible schedules

Guideline for SC-admissibility

- Initialize-Update-Read protocol, for concurrent accesses
- Want to conservatively extend Esterel's "Write-Read protocol" (must do emit before testing)
- ▶ But does Esterel *always* follow write-read protocol?

```
module WriteAfterRead
output x, y, z;
emit x;
present x then
 emit y
 end
 present y then
 emit z
 end;
 emit x
end
```

Esterel version

```
module WriteAfterRead
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```

```
module WriteAfterRead
output int x, y, z;
{
    x = 1;
    fork
    y = x;
    par
    z = y;
    x = 1;
    join
}
```

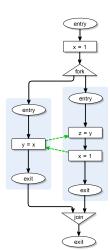
SCL version

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SCG

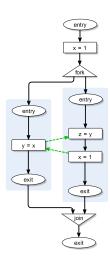
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Esterel version

► Concurrent emit *after* present test



SCG

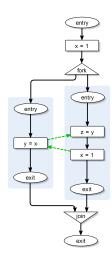
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SCL version

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- Concurrent emit after present test
- ► But WriteAfterRead is BC

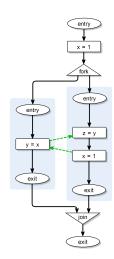


SCG

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module WriteAfterRead
output int x, y, z;
 x = 1;
 fork
  v = x:
 par
  z = y;
  x = 1;
 join
```

SCL version



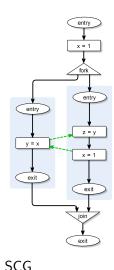
SCG

Esterel version

- ► Concurrent emit *after* present test
- ▶ But WriteAfterRead is BC hence should also be SC!

```
module WriteAfterRead
output x, y, z;
emit x;
 present x then
 emit y
 end
 present y then
 emit z
 end;
 emit x
end
```

```
module WriteAfterRead
output int x, y, z;
 x = 1;
 fork
   v = x;
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  z = y;
  x = 1;
 join
SCI version
```



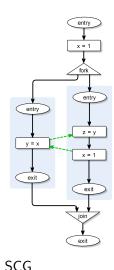
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Esterel version

► Concurrent emit *after* present test

- ▶ But WriteAfterRead is BC hence should also be SC!
- ▶ Observation: second emit is ineffective, *i. e.*, does not change value

```
module InEffective1
   output int x = 2;
3
    int y;
4
5
6
7
8
9
    fork
     if (x == 2) {
      y = 1;
10
    else
11
      y = 0
12
    par
13
    x = 7
14
     join
15
```

If L13 is scheduled before L6:

```
module InEffective1
   output int x = 2;
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If L13 is scheduled before L6:

- ► L13 is effective
- ▶ No out-of-order write
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If L13 is scheduled before L6:

- ► L13 is effective
- ► No out-of-order write
- **▶** y = 0

- ► L13 is out-of-order write
 - ► However, L13 is ineffective

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- ► However, L13 is ineffective
- $ightharpoonup y = 1 (\rightarrow non-determinacy!)$

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    output int x = 2;
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- The problem: L8 hides the potential effectiveness of L13 wrt.

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- ightharpoonup Strengthen notion of "ineffective writes":

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- ► However, L13 is ineffective
- ▶ y = 1 (→ non-determinacy!)
- ► The problem: L8 hides the potential effectiveness of L13 wrt. L6!
- ▶ Both schedules would be permitted under a scheduling regime that permits ineffective writes
- ightharpoonup Strengthen notion of "ineffective writes":
- ► Consider writes "ineffective" only if they do not change read!

```
module InEffective2
   output bool x = false;
3
    int y;
4
5
    fork
6
    if (!x) {
7
8
9
    y = 1;
      x = x xor true
10
   else
11
    y = 0
12
   par
13
    x = x xor true;
14
    join
15
```

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module InEffective2
   output bool x = false;
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```
"x = x xor true"
```

- ► Relative writes
- ► Equivalent to "x = !x"
- Sequence L13; L6; L11:
 - ► y = 0

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    par
13
    x = x x or true;
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"x = x xor true"
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Relative writes

Sequence L13; L6; L11:

► Equivalent to "x = !x"

Sequence L6; L7; L8; L13:

▶ Q: Is L13 ineffective *relative to L6*?

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module InEffective2
   output bool x = false;
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     int y;
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5
    fork
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- ► Equivalent to "x = !x"
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- A: Yes!

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- ► A: Yes!
- ► L13 is out-of-order . . .
- but writes x = true, which is what L6 read!
- ▶ y = 1 (→ again non-determinacy!)

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```

```
"x = x xor true"
```

- Relative writes
- ► Equivalent to "x = !x"

Sequence L13; L6; L11:

► y = 0

- ▶ Q: Is L13 ineffective *relative to L6*?
- A: Yes!
- ► L13 is out-of-order . . .
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- $ightharpoonup y = 1 \ (
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- Again, both schedules would be permitted under a scheduling regime that permits ineffective writes

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- ► Equivalent to "x = !x"
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- ▶ Q: Is L13 ineffective *relative to L6*?
- ► A: Yes!
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- but writes x = true, which is what L6 read!
- $ightharpoonup y = 1 (\rightarrow again non-determinacy!)$
- Again, both schedules would be permitted under a scheduling regime that permits ineffective writes
- ► → Replace "ineffectiveness" by "confluence"

Overview

Motivation

```
Formalizing Sequential Constructiveness (SC)
```

The SC Language (SCL) and the SC Graph (SCG) [Sec. 2] Free Scheduling of SCGs [Sec. 3]

The SC Model of Computation [Sec. 4]

Wrap-Up

Combination function f:

Combination function f:

- ► $f(f(x, e_1), e_2) = f(f(x, e_2), e_1)$ for all x and all side-effect free expressions e_1, e_2
- Sufficient condition:

CAL

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- Examples:

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- ► $f(f(x, e_1), e_2) = f(f(x, e_2), e_1)$ for all x and all side-effect free expressions e_1, e_2
- ▶ Sufficient condition: f is commutative and associative
- ► Examples: *, +, -, max, and, or

CIALU

Relative and Absolute Writes [Def. 4.2]

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

▶ f must be a combination function

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- ▶ Thus, schedules

$$x = f(x, e_1); x = f(x, e_2)$$
 and $x = f(x, e_2); x = f(x, e_1)$ yield same result for $x = f(x, e_1)$

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- ► E.g., x++

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 and $x = f(x, e_2); x = f(x, e_1)$ yield same result for $x = f(x, e_1)$

- Thus, writes are confluent
- ► E.g., x++, x = 5*x, x = x-10

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

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- Thus, writes are confluent
- \triangleright E.g., x++, x = 5*x, x = x-10

Absolute writes ("write" / "initialize"): x = e

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

- f must be a combination function
- Evaluation of e must be free of side effects
- ▶ Thus, schedules

$$x = f(x, e_1); x = f(x, e_2)$$
 and $x = f(x, e_2); x = f(x, e_1)$ yield same result for $x = f(x, e_1)$

- Thus, writes are confluent
- \triangleright E.g., x++, x = 5*x, x = x-10

Absolute writes ("write" / "initialize"): x = e

Writes that are not relative

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

- f must be a combination function
- Evaluation of e must be free of side effects
- ▶ Thus, schedules

$$x = f(x, e_1); x = f(x, e_2)'$$
 and $x = f(x, e_2); x = f(x, e_1)'$ yield same result for $x = f(x, e_1)$

- Thus, writes are confluent
- \triangleright E.g., x++, x = 5*x, x = x-10

Absolute writes ("write" / "initialize"): x = e

- Writes that are not relative
- ▶ E.g., x = 0

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

- f must be a combination function
- Evaluation of e must be free of side effects
- ▶ Thus, schedules

$$x = f(x, e_1); x = f(x, e_2)$$
 and $x = f(x, e_2); x = f(x, e_1)$ yield same result for $x = f(x, e_1)$

- Thus, writes are confluent
- \triangleright E.g., x++, x = 5*x, x = x-10

Absolute writes ("write" / "initialize"): x = e

- Writes that are not relative
- ► E.g., x = 0, x = 2*y+5

CAU

Relative writes, of type f ("increment" / "modify"): x = f(x, e)

- f must be a combination function
- Evaluation of e must be free of side effects
- ▶ Thus, schedules

$$x = f(x, e_1); x = f(x, e_2)$$
 and $x = f(x, e_2); x = f(x, e_1)$ yield same result for $x = f(x, e_1)$

- Thus, writes are confluent
- \triangleright E.g., x++, x = 5*x, x = x-10

Absolute writes ("write" / "initialize"): x = e

- Writes that are not relative
- ► E.g., x = 0, x = 2*y+5, x = f(z)

C | A | U Synchronous Languages Lecture 13

Slide 64

Given two statically concurrent accesses $n_1 \parallel n_2$ on some variable x, we define the iur relations

Given two statically concurrent accesses $n_1 \parallel n_2$ on some variable x, we define the iur relations

- ▶ $n_1 \rightarrow_{ww} n_2$ iff n_1 and n_2 both initialize x or both perform updates of different type. We call this a www conflict
- $ightharpoonup n_1
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 ightharpoonup n_2$ iff n_1 updates x and n_2 reads x
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- ▶ $n_1 \rightarrow_{ww} n_2$ iff n_1 and n_2 both initialize x or both perform updates of different type. We call this a www conflict
- ▶ $n_1 \rightarrow_{iu} n_2$ iff n_1 initializes x and n_2 updates x
- $ightharpoonup n_1
 ightharpoonup n_2$ iff n_1 updates x and n_2 reads x
- $ightharpoonup n_1
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Since $n_1 \rightarrow_{ww} n_2$ implies $n_2 \rightarrow_{ww} n_1$:

▶ abbreviate the conjunction of $n_1 \rightarrow_{ww} n_2$ and $n_2 \rightarrow_{ww} n_1$ with $n_1 \leftrightarrow_{ww} n_2$

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Since $n_1 \rightarrow_{ww} n_2$ implies $n_2 \rightarrow_{ww} n_1$:

- ▶ abbreviate the conjunction of $n_1 \rightarrow_{ww} n_2$ and $n_2 \rightarrow_{ww} n_1$ with $n_1 \leftrightarrow_{ww} n_2$
- \blacktriangleright by symmetry \rightarrow_{ww} implies \leftrightarrow_{ww}

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- ▶ Nodes $n_1, n_2 \in N$

 n_1, n_2 are conflicting in (C, M) iff

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Given:

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 n_1, n_2 are conflicting in (C, M) iff

1. n_1, n_2 active in C, $i. e., \exists c_1, c_2 \in C$ with $c_i.status = active$ and $n_i = c_i.node$

Given:

- ▶ Valid configuration (*C*, *M*) of SCG
- ▶ Nodes $n_1, n_2 \in N$

 n_1, n_2 are conflicting in (C, M) iff

- 1. n_1, n_2 active in C, i. e., $\exists c_1, c_2 \in C$ with $c_i.status = active$ and $n_i = c_i.node$
- 2. $c_1(c_2(C, M)) \neq c_2(c_1(C, M))$

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 n_1 , n_2 are confluent with each other in (C, M), written: $n_1 \sim_{(C, M)} n_2$, iff

▶ $\not\exists$ Sequence of micro steps $(C, M) \xrightarrow{}_{\mu s} (C', M')$ such that n_1 and n_2 are conflicting in (C', M')

(From definition:) $n_1 \sim_{(C,M)} n_2$ iff

▶ $\not\exists$ Sequence of micro steps $(C, M) \xrightarrow{n} \mu_s (C', M')$ such that n_1 and n_2 are conflicting in (C', M')

Observations I

- ► Confluence is taken *relative* to valid configurations (*C*, *M*) and *indirectly* as the absence of conflicts
- ► Instead of requiring that confluent nodes commute with each other for arbitrary memories, we only consider those configurations (C', M') that are reachable from (C, M)
- ▶ E. g., if it happens for a given program that in all memories M' reachable from a configuration (C, M) two expressions ex_1 and ex_2 evaluate to the same value, then the assignments $x = ex_1$ and $x = ex_2$ are confluent in (C, M)

(From definition:) $n_1 \sim_{(C,M)} n_2$ iff

▶ $\not\exists$ Sequence of micro steps $(C, M) \xrightarrow{}_{\mu s} (C', M')$ such that n_1 and n_2 are conflicting in (C', M')

Observations II

- Similarly, if the two assignments are never jointly active in any reachable continuation pool C', they are confluent in (C, M), too
- ➤ Thus, statements may be confluent for some program relative to some reachable configuration, but not for other configurations or in another program

(From definition:) $n_1 \sim_{(C,M)} n_2$ iff

▶ \not ∃ Sequence of micro steps $(C, M) \rightarrow_{\mu s} (C', M')$ such that n_1 and n_2 are conflicting in (C', M')

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- ➤ Thus, statements may be confluent for some program relative to some reachable configuration, but not for other configurations or in another program
- ► However, notice that relative writes of the same type are confluent in the absolute sense, i. e., for all valid configurations (C, M) of all programs

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▶ $\not\exists$ Sequence of micro steps $(C, M) \xrightarrow{n} \mu_s (C', M')$ such that n_1 and n_2 are conflicting in (C', M')

Observations III

- ▶ Confluence $n_1 \sim_{(C,M)} n_2$ requires conflict-freeness for all configurations (C', M') reachable from (C, M) by arbitrary micro-sequences under free scheduling
- Will use this notion of confluence to define the restricted set of SC-admissible macro ticks

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 one might be tempted to define confluence relative to these SC-admissible schedules;

Slide 69

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- Since compiler will ensure SC-admissibility of the execution schedule, one might be tempted to define confluence relative to these SC-admissible schedules; however, this would result in a logical cycle

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Observations IV

 This relative view of confluence keeps the scheduling constraints on SC-admissible macro ticks sufficiently weak

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- Note: two nodes confluent in some configuration are still confluent in every later configuration reached through an arbitrary sequence of micro steps
- However, more nodes may become confluent in later configurations, because some conflicting configurations are no longer reachable
- Exploit this in following definition of confluence of node instances by making confluence of node instances within a macro tick relative to the index position at which they occur

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Given:

- ► Macro tick R
- (C_i, M_i) for $0 \le i \le len(R)$, the configurations of R
- Node instances $ni_1 = (n_1, i_1)$ and $ni_2 = (n_2, i_2)$ in R

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Call node instances confluent in R, written $ni_1 \sim_R ni_2$, iff

- for $i = min(i_1, i_2) 1$
- $ightharpoonup n_1 \sim_{(C_i,M_i)} n_2$

InEffective2 Revisited

```
module InEffective2
   output bool x = false;
3
     int y;
5
    fork
6
7
    if (!x) {
     y = 1;
8
      x = x x or true
9
10
    else
11
       y = 0
12
    par
13
    x = x xor true;
14
    join
15
```

Recall sequence L6; L7; L8; L13:

```
module InEffective2
   output bool x = false;
3
     int y;
5
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      if (!x) {
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Recall sequence L6; L7; L8; L13:

▶ Q: Is L13 ineffective *relative to L6*?

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- ▶ Q: Is L13 ineffective *relative to L6*?
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- ▶ Q: Is L13 ineffective *relative to L6*?
- ► A: Yes!
- ► L13 is out-of-order . . .
- but writes x = false, which is what L6 read!

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- ► A: No!
- ► L6 and L13 conflict at point of execution of L6
- \rightarrow Def. of SC-admissibility specifically, the underlying scheduling relations uses confluence condition

Scheduling Relations [Def 4.6]

Given:

- Macro tick R with
- Node instances $ni_{1,2}=(n_{1,2},i_{1,2})$, *i. e.*, $1 \le i_{1,2} \le len(R)$ and $n_{1,2}=R(i_{1,2})$
- \triangleright $ni_{1,2}$ concurrent in R, i. e., $ni_1 \mid_R ni_2$
- \triangleright $ni_{1,2}$ not confluent in R, i.e., $ni_1 \not\sim_R ni_2$

Then:

- $ightharpoonup ni_1
 ightharpoonup \frac{R}{\alpha} ni_2$ iff $n_1
 ightharpoonup \alpha_1$ for some $\alpha \in \alpha_{iur}$
- $ightharpoonup ni_1
 ightharpoonup ^R ni_2$ iff $i_1 < i_2$; i. e., ni_1 happens before ni_2 in R.

CAL

Sequential Admissibility [Def. 4.7]

A macro tick R is SC-admissible iff

- ▶ for all node instances $ni_{1,2} = (n_{1,2}, i_{1,2})$ in R, with $1 \le i_{1,2} \le len(R)$ and $n_{1,2} = R(i_{1,2})$,
- ▶ for all $\alpha \in \alpha_{iur}$

the scheduling condition SC_{α} holds:

Sequential Admissibility [Def. 4.7]

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- ightharpoonup for all $\alpha \in \alpha_{inr}$

the scheduling condition SC_{α} holds: if $ni_1 \rightarrow_{\alpha}^R ni_2$ then $ni_1 \rightarrow_{\alpha}^R ni_2$.

A run for an SCG is SC-admissible if all macro ticks R in this run are SC-admissible.

CAU

```
module NonDet
 2
    output bool x = false, y = false;
 3
 4
     fork { // Thread CheckX
     if (!x)
 6
       y = true;
 7
     par { // Thread CheckY
      if (!v)
10
       x = true
11
12
     join
13
```

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► Admissible runs?

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      join
13
```

► Admissible runs? Yes, multiple

```
module NonDet
coutput bool x = false, y = false;

fork { // Thread CheckX
    if (!x)
        y = true;
    }

par { // Thread CheckY
    if (!y)
        x = true
}

publication

if (!y)

if (!y)

if (!y)

if (!y)

if (!j)

if (!j)
```

- Admissible runs? Yes, multiple
- Determinate?

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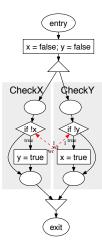
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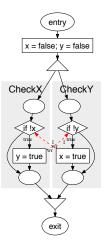
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- Admissible runs? Yes, multiple
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module Fail
output bool z = false;

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par {
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- Admissible runs? No
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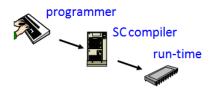
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- Admissible runs? No
- ► Determinate? Yes

Thus: Determinacy *⇒* SC-admissibility

Sequential Constructiveness [Def. 4.8]



Definition: A program P is sequentially constructive (SC) iff for each initial configuration and input sequence:

- 1. There exists an SC-admissible run (P is reactive)
- 2. Every SC-admissible run generates the same determinate sequence of macro responses (*P* is determinate)

C | A | U Synchronous Languages Lecture 13 Slide 77

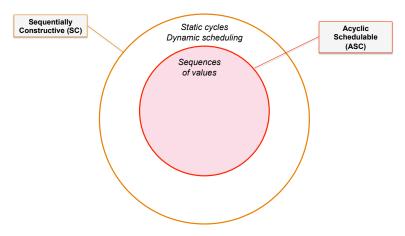
Overview

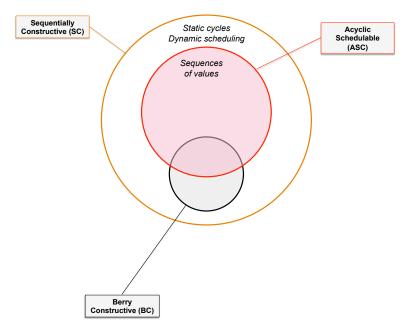
Motivation

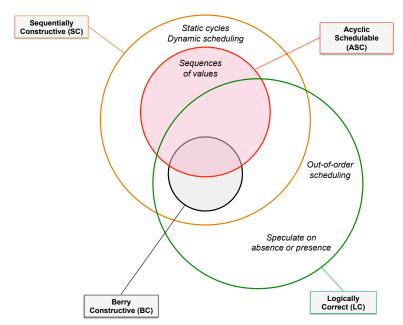
Formalizing Sequential Constructiveness (SC)

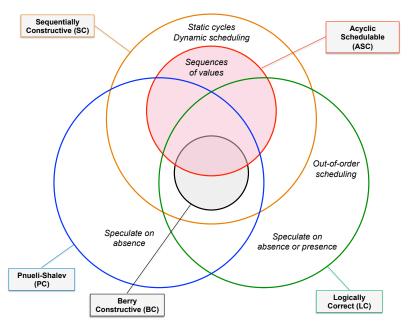
Wrap-Up

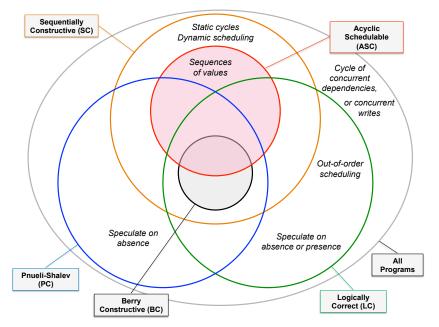
Synchronous Program Classes Summary

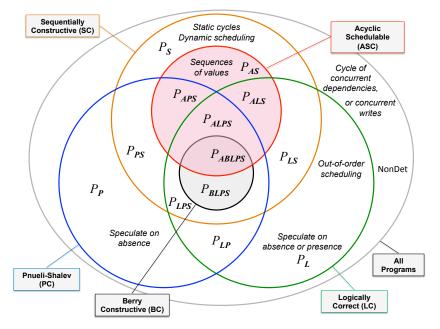


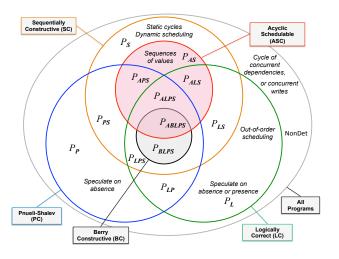




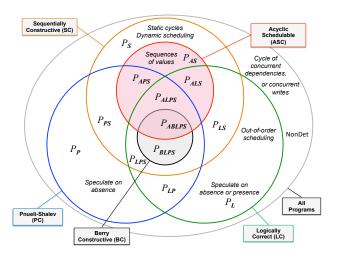




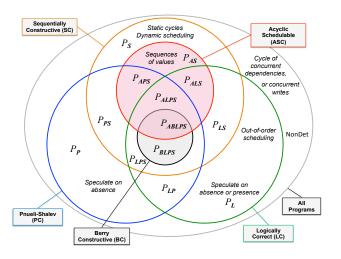




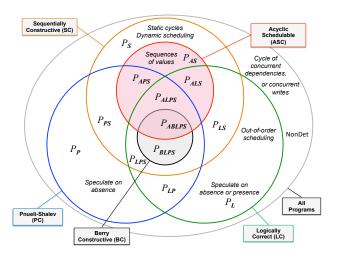
Example $P_{APS} =$



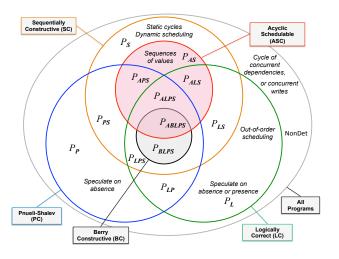
Example $P_{APS} = if(x) x = 1$



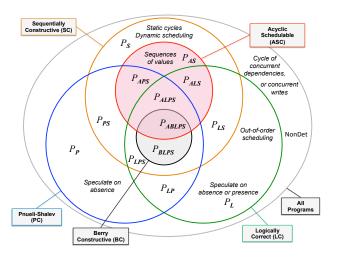
Example $P_{AS} =$



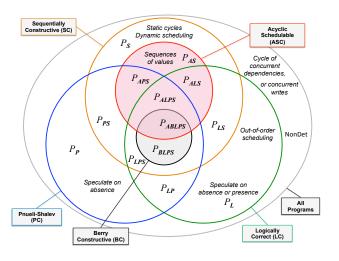
Example $P_{AS} = if (!x) x = 1$



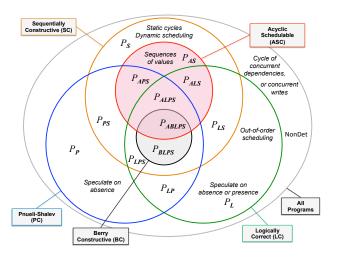
Example $P_{ALS} =$



Example $P_{ALS} = \text{if (!x)} x = 1 \text{ else } x = 1$



Example $P_{ALPS} =$



Example $P_{ALPS} = if (!x \&\& y) \{x = 1; y = 1\}$

Summary

Underlying idea of sequential constructiveness rather simple

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- Prescriptive instead of descriptive sequentiality
- ► Thus circumventing "spurious" causality problems
- Initialize-update-read protocol

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However, precise definition of SC MoC not trivial

- Challenging to ensure conservativeness relative to Berry-constructiveness
- Plain initialize-update-read protocol does not accommodate, e. g., signal re-emissions
- Restricting attention to concurrent, non-confluent node instances is key

Conclusions

- Clocked, synchronous model of execution for imperative, shared-memory multi-threading
- Conservatively extends synchronous programming (Esterel) by standard sequential control flow (Java, C)
- Deterministic concurrency with synchronous foundations, but without synchronous restrictions
 - © Expressive and intuitive sequential paradigm
 - © Predictable concurrent threads

Future Work

Plenty of extensions/adaptations possible . . .

- Alternative notions of sequential constructiveness:
 - A truly "constructive" approach that sharpens SC admissibility to determinate schedules
 - Extension of iur-protocol, e.g., to model ForeC
- ▶ Improved synthesis & analysis see also next lecture